



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re patent application of:)	
)	Attorney Docket No: 1842-0021
Boyd et al.)	
)	
Application No.: 10/749,640)	Examiner: To be assigned
)	
Filed: December 31, 2003)	
)	Group Art Unit: To be Assigned
Title: Dynamic Spinal Stabilization System))	

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, Alexandria, VA 22313-1450 on March 2, 2004

(Date of Deposit)

Michael D. Beck

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Michael D. Beck

Signature of person mailing Document or Fee

March 2, 2004

Date of Signature

INFORMATION DISCLOSURE STATEMENT

Hon. Commissioner of Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

Pursuant to 37 CFR §1.56, Applicants hereby disclose the following references. Copies of the U.S. references are provided herewith since this application was filed before the waiver date of June 30, 2003.

<u>U.S. Patent No.</u>	<u>Inventor</u>	<u>Issue Date</u>
4,743,260	Burton	05/10/1988
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<u>Foreign Pat. No.</u>	<u>Country</u>	<u>Issue/Publication Date</u>
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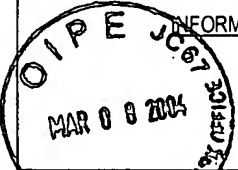
It is believed that no fees are due for the consideration of this Information Disclosure Statement because this Information Disclosure Statement is being filed before receipt of the First Office Action. However, the Commissioner is hereby authorized to charge any deficiency or to credit any overpayment to Deposit Account No. 13-0014, but not to include any payment of issue fees.

March 2, 2004
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Respectfully Submitted,



Michael D. Beck
Attorney for Applicants
Registration No. 32,722

	FORM PTO-1449 INFORMATION DISCLOSURE STATEMENT	ATTY. DOCKET NO.: 1842-0021	APPLICATION NO. 10/749,640
	APPLICANT: Boyd et al.		
	FILING DATE: December 31, 2003	GROUP ART UNIT: To be Assigned	

U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB-CLASS	FILING DATE
	AA	4,743,260	05/10/1988	Burton			
	AB	4,805,602	02/21/1989	Puno et al.			
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	AD	5,002,542	03/26/1991	Frigg			
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	AG	5,167,664	12/01/1992	Hodorek			
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	AI	5,207,678	05/04/1993	Harms et al.			
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	AL	EP 0 381 588 B2	03/05/2000	Breard et al.			Yes No
	AM	WO 02/24087	28/03/2002	Kalaitzis et al.			Yes No
	AN						Yes No
	AO						Yes No
	AP						Yes No

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	AR	1	Sénégas, J., "Mechanical Supplementation by Non-Rigid Fixation in Degenerative Intervertebral Lumbar Segments: The Wallis System", Eur Spine J (2002) 11 ((Suppl. 2): S164-S169, ©Springer-Verlag 2002, (6 pages).
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	BI	5,562,737	10/08/1996	Graf			
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EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB-CLASS	TRANSLATION
	BL						Yes No
	BM						Yes No
	BN						Yes No
	BO						Yes No
	BP						Yes No

OTHER (Including Author, Title, Date, Pertinent Pages, etc.)			
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	BR	<u>2</u>	Scifert, Jeffrey L., Sairyo, Koichi, Goel, Vijay K., Grobler, Leon J., Grosan, Nicole M., Spratt, Kevin F., and Chesmel, Kathleen D., "Stability Analysis of an Enhanced Load Sharing Posterior Fixation Device and Its Equivalent Conventional Device in a Calf Spine Model", SPINE, Vol. 24, No. 21, pp. 2206-2213, ©Lippincott, Williams & Wilkins, Inc. (8pages).
	BS	<u>2</u>	Various Abstracts of Articles on the "X-Stop" Interspinous Spacer, Various Authors, date unknown.

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	CK	6,123,706	09/26/2000	Lange			
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EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB-CLASS	TRANSLATION
	CL						Yes No
	CM						Yes No
	CN						Yes No
	CO						Yes No
	CP						Yes No
OTHER (Including Author, Title, Date, Pertinent Pages, etc.)							
	CQ	3	Swanson, Kyle E., Lindsey, Derek P., Hsu, Ken Y., Zucherman, James F. and Yerby, Scott A., "The Effect of Interspinous Implant on Intervertebral Disc Pressures", SPINE, Vol. 28, No. 1, pp. 26-32, ©Lippincott, Williams & Wilkins, Inc., (9 pages).				
	CR	3	Garner, Matthew D., Wolfe, Steven J., Kuslich, Stephen D., "Development and Preclinical Testing of a New Tension-Band Device for the Spine: The Loop System", Eur Spine J (2000) 11 (Suppl. 2): S186-191, (6 pages).				
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EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB-CLASS	TRANSLATION
	DL						Yes No
	DM						Yes No
	DN						Yes No
	DO						Yes No
	DP						Yes No
OTHER (Including Author, Title, Date, Pertinent Pages, etc.)							
	DQ	<u>4</u>	"WALLIS", Spine Next, Evoluer Autrement, http://www.spinenext.com , (1 pages).				
	DR	<u>4</u>	Senegas, Jacques, "Senegas System: Concept of Posterior Stabilisation: Mechanical Supplementation by Dynaminc Fixation in Degenerative Intertebral Lumbar Segments: The Wallis System", (2 pages).				
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	EH	2002/0165550	11/07/2002	Frey et al.			
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	EJ	2003/0004511	01/02/2003	Ferree			
	EK	2003/0028196	02/06/2003	Bonutti			
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	EL						Yes No
	EM						Yes No
	EN						Yes No
	EO						Yes No
	EP						Yes No
OTHER (Including Author, Title, Date, Pertinent Pages, etc.)							
	EQ	<u>5</u>	Mochida, Joji, Suzuki, Kane, Chiba, Masahiro, "How to Stabilize a Single Level Lesion of Degenerative Lumbar Spondylolisthesis", Clinical Orthopaedics and Related Research, No. 368, pp. 126-134, ©Lippincott, Williams & Wilkins, Inc., (9 pages).				
	ER	<u>5</u>	Taylor, Jean, "Minimal Access for Interspinous Stabilisation", (6 pages).				
	ES	<u>5</u>	Caserta, S., La Maida, G.A., Misaggi, B., Peroni, D., Pietrabissa, R., Raimondi, M.T., Redaelli, A., "Elastic Stabilization Alone or Combined with Rigid Fusion in Spinal Surgery: A Biomechanical Study and Clinical Experience based on 82 cases", Eur Spine J (2002) 11(Suppl.2):S192-S197, ©Springer-Verlag 2002, (6 pages).				
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	FH						
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	FJ						
	FK						

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	FL						Yes No
	FM						Yes No
	FN						Yes No
	FO						Yes No
	FP						Yes No

OTHER (Including Author, Title, Date, Pertinent Pages, etc.)

	FQ	<u>6</u>	Hadlow, Simon, Fagan, Andrew, Terrence, Hillier and Fraser, Robert, "The Graf Ligamentoplasty Procedure: Comparison with Posterolateral Fusion in the Management of Low Back Pain", http://gateway2.ovid.com/ovidweb.cgi , May 20, 2003, (14 pages).
	FR	<u>6</u>	Konno, Shinichi and Kikuchi, Shinichi, "Prospective Study of Surgical Treatment of Degenerative Spondylolisthesis: Comparison Between Decompression Alone and Decompression with Graf System Stabilization", SPIN, Vol. 25 (12), June 15, 2000, (10 pages).
	FS	<u>6</u>	Gardner, Alan and Pande Ketan, "Graf Ligamentoplasty: A 7-Year Follow-Up, Eur Spine J (2002) 11 (Suppl.2): S157-S163, ©Springer-Verlag 2002, (7 pages).

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	GA						
	GB						
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	GI						
	GJ						
	GK						
FOREIGN PATENT DOCUMENTS							
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	HL						Yes No
	HM						Yes No
	HN						Yes No
	HO						Yes No
	HP						Yes No
OTHER (Including Author, Title, Date, Pertinent Pages, etc.)							
	HQ	7	Nydegger, T. and Dubois, G., "Dynamic Neutralisation System for the Spine: Biomechanical Aspects", (1 page).				
	HR	7	Eberlein, Robert, Holzapfel, Christian A, and Schulze-Bauer, A.J., "Assessment of a Spinal Implant by Means of Advanced FE Modeling of Intact Human Intervertebral Discs", WCCM V July 7-12, 2002, (14 pages).				
	HS	7	Stoll, Thomas M., Dubois, Giles, Schwarzenbach, Othmar, "The Dynamic Neutralization System for the Spine: A Multi-Center Study of a Novel Non-Fusion System", European Spine Journal, http://link.springer-ny.com/link/service/journals/00586/contents/02/00438/paper/s00586-002 , (18 pages).				
EXAMINER					DATE CONSIDERED		
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FORM PTO-1449 INFORMATION DISCLOSURE STATEMENT	ATTY. DOCKET NO.: 1842-0021	APPLICATION NO. 10/749,640
	APPLICANT: Boyd et al.	
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U.S. PATENT DOCUMENTS							
EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB-CLASS	FILING DATE
	IA						
	IB						
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	ID						
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FOREIGN PATENT DOCUMENTS							
EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB-CLASS	TRANSLATION
	IL						Yes No
	IM						Yes No
	IN						Yes No
	IO						Yes No
	IP						Yes No

OTHER (Including Author, Title, Date, Pertinent Pages, etc.)

	IQ	<u>8</u>	Freudiger, S., Dubois, G. and Lorrain, M., "Dynamic Neutralisation of the Lumbar Spine Confirmed on a New Lumbar Spine Simulator in Vitro", Arch Orthop Trauma Surg (1999): 119:127-132, ©Springer-Verlag -1999, (6 pages).
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	JF						
	JG						
	JH						
	JI						
	JJ						
	JK						

FOREIGN PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB- CLASS	TRANSLATION
	JL						Yes No
	JM						Yes No
	JN						Yes No
	JO						Yes No
	JP						Yes No

OTHER (Including Author, Title, Date, Pertinent Pages, etc.)

	JQ	2	Sengupta, Dilip K., Mulholland, Robert C., Herkowitz, Harry N., Hochschuler, Stephen H., "Loads Sharing Characteristics of Two Novel Soft Stabilization Devices in the Lumbar Motion Segments: A Biomechanical Study in Cadaver Spine", date unknown, (3 pages).
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	JS	2	

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